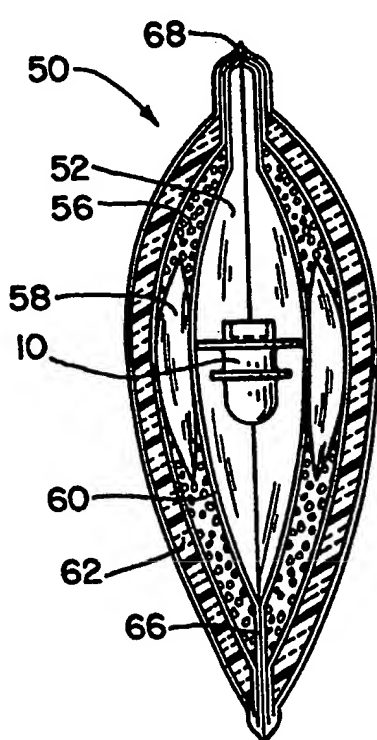


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<p>(54) Title: THERMAL PACKAGING UNIT FOR BIOCOMPATIBLE IMPLANTS</p> <p>(57) Abstract</p> <p>This a thermal packaging unit (50) for a bio-compatible im- plant (10). The thermal packaging unit includes a first compartment (52) for storing the bio-compatible implant. In addition, the thermal packaging unit further includes a second compartment (58) which is operable to contain a chemical composition which is able to heat the bio-compatible implant by means of an exothermic reaction.</p> 		

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THERMAL PACKAGING UNIT FOR BIOCOMPATIBLE IMPLANTS

BACKGROUND OF THE INVENTION

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This invention relates generally to biocompatible implants and related devices, and more particularly to a thermal packaging unit for heating biocompatible implants. In many surgical operations, portions of the human body are replaced by or are supplemented by various biocompatible implants. These biocompatible implants are made from a wide variety of materials including natural human bone, various nontoxic biocompatible metals, and many different types of plastics including polymer materials. In certain circumstances, it is desired to deform a biocompatible implant to conform to a given geometry of a portion of the human body prior to implantation. One method which has been suggested to deform such a biocompatible implant is to heat the biocompatible implant to a temperature where the biocompatible implant becomes malleable. Once the biocompatible implant becomes malleable, the biocompatible implant is deformed to the desired shape and then allowed to cool.

There are several methods which have been suggested to heat a biocompatible implant to a temperature where the biocompatible implant becomes malleable. In one method, the biocompatible implant is placed in a hot water bath for a sufficient length of time so as to cause the biocompatible implant to become malleable. After the biocompatible implant has been heated to such a temperature, the biocompatible implant is removed from the hot water bath and then is deformed. In another method, electrical energy is delivered to an electrode so as to cause the electrode to generate thermal energy. The biocompatible implant is then brought into a position adjacent to the electrode so as to cause the temperature of the biocompatible implant to rise. After the temperature of the biocompatible implant rises to such a temperature so as to become malleable, the biocompatible implant is

deformed to the desired shape and allowed to cool. These two methods are briefly discussed in Wittenberg, J. M. Wittenberg, R. H. and Hipp, J. A.; Biomechanical Properties of Resorbable Poly-L-lactide Plates and Screws: A
5 Comparison with Traditional Systems, J. Oral Maxillofac. Surg. 49:512-516 (1991).

While such methods for heating biocompatible implants are effective, they nevertheless have certain disadvantages. For example, the use of a hot water bath to
10 heat a biocompatible implant often involve the inconvenience of manipulating an implant which is wet immediately prior to implantation. In addition, the use of hot water baths is complicated by steps which may be necessary to maintain sterile conditions. In this regard,
15 the containers which are used for immersing the biocompatible implant within the hot water bath may have to be resterilized following each use. In addition, the equipment used to initially heat the hot water bath may also have to be resterilized. Finally, the use of an
20 external heat source such as a flame or a resistance heating system is often inconvenient in the surgical environment.

With respect to the use of an electrode for heating the biocompatible implants, it will be appreciated
25 that it is also inconvenient to maintain the sterility of the electrode in the surgical environment. Furthermore, physical contact between the biocompatible implant and the electrode may cause damage to the physical structure of the implant by locally melting the implant. The need
30 therefore exists for an improved means for conveniently heating biocompatible implants to an elevated temperature so as to allow the biocompatible device to be deformed.

SUMMARY OF THE INVENTION

35 An advantage of the present invention is to provide a thermal packaging unit for a biocompatible

implant which includes a self-contained heating mechanism. In this regard, a related object of the present invention is to provide a thermal packaging unit for a biocompatible implant which is able to heat the biocompatible implant without the use of an external source of energy.

A further advantage of the present invention is to provide a thermal packaging unit for a biocompatible implant which is able to heat the biocompatible implant to a temperature where the biocompatible implant may be deformed. In this regard, a related object of the present invention is to provide a thermal packaging unit for a biocompatible implant which is able to heat the biocompatible implant to a temperature substantially that of its glass transition temperature.

Another advantage of the present invention is to provide a thermal packaging unit for a biocompatible implant which may be used to reheat the biocompatible implant.

A further advantage of the present invention is to provide a thermal packaging unit for a biocompatible implant which reduces the complexity associated with enhancing the sterility of the biocompatible implant.

Another advantage of the present invention is to provide a thermal packaging unit in which the sterility of the biocompatible implant can be maintained from when the biocompatible implant is manufactured to immediately before implantation.

A further advantage of the present invention is to provide a thermal packaging unit for a biocompatible implant which is able to reduce the cost associated with sterilizing the biocompatible implant before implantation.

The present invention, in one form thereof, provides an apparatus for heating a biocompatible implant. The apparatus includes means for receiving the biocompatible implant as well as means for heating the biocompatible implant. The means for heating the

biocompatible implant is self-contained and is disposed adjacent to the means for receiving the biocompatible implant.

The invention, in another form thereof, provides
5 a method for heating a biocompatible implant. The method comprises the step of disposing the biocompatible implant in a heating device which includes means for receiving the biocompatible implant as well as means for delivering thermal energy to the biocompatible implant. The method
10 further comprises the step of activating the heating device so as to cause the thermal energy to be delivered to the biocompatible implant. In addition, the method also includes the step of allowing the biocompatible implant to be heated to a temperature where the biocompatible implant
15 becomes deformable. Finally, the method includes the step of removing the biocompatible implant from the heating device after the biocompatible implant becomes deformable.

BRIEF DESCRIPTION OF THE DRAWINGS

20 Other advantages of the present invention will become apparent to one skilled in the art upon reading the following specification and the following drawings.

FIG. 1 is a cross-sectional view of a biocompatible implant according to the teachings of the
25 preferred embodiment of the present invention shown in association with a hip joint prosthesis;

FIG. 2 is an enlarged elevational view of a biocompatible implant shown in FIG. 2 according to the teachings of the preferred embodiment of the present
30 invention;

FIG. 3 is a view of a biocompatible implant in accordance with a preferred embodiment of the present invention taken in the direction of line 3-3 in FIG. 2;

FIG. 4 is a cross-sectional view of a thermal
35 packaging unit used for heating the biocompatible implant shown in FIG. 2 according to the teaching of a preferred

embodiment of the present invention;

FIG. 5 is an enlarged cross-sectional view of a bioresorbable implant according to the teachings of the preferred embodiment of the present invention shown as
5 being disposed within a cavity formed within the intramedullary canal of a femur.

FIG. 6 is a cross-sectional view of a thermal packaging unit used for heating the biocompatible implant shown in FIG. 2 according to the teaching of another
10 preferred embodiment of the present invention;

FIG. 7 is a cross-sectional view of a thermal packaging unit used for heating the biocompatible implant shown in FIG. 2 according to the teaching of yet another preferred embodiment of the present invention;

15 FIG. 8 is an elevational view of a thermal packaging unit used for heating the biocompatible implant shown in FIG. 2 according to the teaching of yet another preferred embodiment of the present invention; and

FIG. 9 is a cross-sectional view of the thermal
20 packaging unit shown in FIG. 8.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

It should be understood that while this invention is described in connection with a particular example, the
25 scope of the invention need not be so limited. Rather, those skilled in the art will appreciate that the following teachings can be used in a much wider variety of applications than the examples specifically mentioned herein.

30 Referring now to FIG. 1, there is shown a biocompatible implant 10 which may be used with the preferred embodiments of the present invention. The biocompatible implant 10 is shown as being disposed within a cavity 12 formed in the intramedullary canal of a femur
35 14. A hip joint prosthesis, generally designated by the numeral 16, is positioned within the cavity 12. The hip

joint prosthesis 16 is shown to include a head component 18 for engaging an acetabular component 20 that is secured to the pelvis 22. The hip joint prosthesis 16 further includes a stem portion 24 that is inserted into the cavity 12 and that is used to secure the hip joint prosthesis 16 to the femur 14. As seen in FIG. 1, the biocompatible implant 10 is positioned within the cavity 12 beyond the distal end of the stem portion 24 of the hip joint prosthesis 16.

While the biocompatible implant 10 is depicted as being a bone plug, it will be appreciated that the biocompatible implant 10 may be any other type of biocompatible or bioresorbable implant exhibiting similar properties that is also deformable at a thermochemical state different from that existing within a body but is relatively rigid at a thermochemical state existing within a body. A "thermochemical state" as used in describing the present invention is defined as a combination of thermal and chemical conditions resulting from exposure to certain thermal and chemical environments. Although one type of change in thermochemical state occurs by a change of temperature alone, changes in thermochemical state of a biocompatible implant of the present invention should be understood as not limited only to changes in temperature.

Referring now to FIG. 2, the biocompatible implant 10 includes a main body 26 having a rounded lower end 28 and an upper end 30. The upper end 30 includes an open recess 32 that is defined by an annular wall 34 and is used to receive an insertion tool (not shown). The annular wall 34 is shown to include a plurality of threads 36 that are disposed on the inner surface of the annular wall 34. The threads 36 are sized to receive a matching threaded end of the insertion tool that allows the biocompatible implant 10 to be removably secured to the insertion tool. It will be appreciated, however, that any other suitable means for allowing the biocompatible implant 10 to be inserted into

the cavity 12 by an insertion tool may be used.

To provide means for securing the main body 26 in a substantially stationary position within the cavity 12, the biocompatible implant 10 further includes an upper
5 first flange 38 and a lower second flange 40 that extend radially from the main body 26. Both the first and second flanges 38 and 40 are planar and circular in nature, with the diameter of the first flange 38 being larger than the diameter of the second flange 40. The first and second
10 flanges 38 and 40 are used for engaging the wall of the cavity 12 when biocompatible implant 10 is inserted into the cavity 12. In addition, the flanges 38 and 40 also enhance a seal for restricting the flow of bone cement from the portion of the cavity 12 above the biocompatible
15 implant 10 to the portion of the cavity 12 directly below the biocompatible implant 10. While the biocompatible implant 10 has been shown to include the first and second flanges 38 and 40, other suitable means for securing the biocompatible implant 10 within the cavity 12 may be used.

20 The first flange 38 includes a plurality of concentric ridges 42 disposed on its lower surface while the second flange 40 also has a plurality of concentric ridges 44 disposed on its lower surface as shown in FIG. 3. The ridges 42 and 44 serve to enhance the positional
25 stability of the biocompatible implant 10 within the cavity 12 by engaging the walls of the cavity 12 upon insertion therein of the biocompatible implant 10.

In a preferred embodiment, the biocompatible implant 10 is formed from a bioresorbable material that is
30 substantially rigid at body temperature but becomes pliable or deformable at temperature somewhat above body temperature. One such material is an 82:18 copolymer of polylactic acid and polyglycolic acid. The glass transition temperature of this material is between
35 approximately 40° C and 60° C so that heating of the biocompatible implant 10 to a deformable temperature

preferably 5-10° above the glass transition temperature allows the biocompatible implant 10 to be easily deformed as the biocompatible implant 10 is inserted into the cavity 12 in the manner described below. It should be understood that other suitable biocompatible materials may be used that have a glass transition temperature above body temperature. These materials include polymers, copolymers, fibers and films of polyglycolide, polylactide, polydioxanone, poly(glycolide-co-trimethylene carbonate), poly(ethylene carbonate), poly(iminocarbonates), polycaprolactone, polyhydroxybutyrate, polyesters, poly(amino acids), poly(ester-amides), poly(orthoesters), poly(anhydrides) and cyanoacrylates and copolymers and blends thereof. A review of these materials as well known degradable synthetic implant materials is set forth in Barrows, T.H., Degradable Implant Materials: A Review of Synthetic Absorbable Polymers and their Applications, Clinical Materials 1986: 1: 233-257, which is hereby incorporated by reference.

As shown in FIG. 4, the biocompatible implant 10 may be heated by a thermal packaging unit 50. As will be more fully discussed below, the thermal packaging unit 50 is able to generate thermal energy that is transferred to the biocompatible implant 10 so as to cause the temperature of the biocompatible implant 10 to be substantially that of its glass transition temperature. Because the biocompatible implant 10 is deformable at this elevated temperature, the biocompatible implant 10 may be relatively easily inserted into the cavity 12 of the femur 14. It will also be noted from the following discussion that the thermal packaging unit 50 is self-contained in that the elements used for heating the biocompatible device 10 are located within the thermal packaging unit 50.

To provide means for heating the biocompatible implant 10, thermal packaging unit 50 includes a first compartment 56 that defines a storage area 52 for receiving

the biocompatible implant 10, and a second compartment 58 that is disposed within the first compartment 56. The first compartment 56 includes an inner wall 60 that is disposed adjacent to the storage area 52 and an external surface defined by an outer wall 62. The inner wall 60 and outer wall 62 act as a barrier to moisture, microbes or other contaminants which enhances a relatively sterile and relatively dry condition within the storage area 52 thereby minimizing degradation of the biocompatible implant 10 by exposure to the environment.

The inner wall 60 is preferably made from a laminate including a linear low density film of 3 to 4 mils thickness, a high temperature adhesive layer, an aluminum foil layer, a second high temperature adhesive layer and a second linear low density film of 3 to 4 mils thickness, although other suitable materials may be used. The outer wall 62 is preferably made from a laminate including a linear low density film of 3 to 4 mils thickness, a high temperature adhesive layer, an aluminum foil layer, a second high temperature adhesive layer and an insulating layer, although other suitable materials may be used. The insulating layer may be made from any flexible insulating material well known to those skilled in the art and is used to retain the thermal energy generated within the first compartment 56. In one preferred embodiment, the insulating layer is a laminate including a 60 to 100 gauge biaxial oriented nylon sheet, a high temperature adhesive layer, a microfoam-blown polypropylene layer and a non-woven film, such as nylon. It will also be noted that the first compartment 56 may be separated into segments by means of a separating wall 66. The separating wall 66 can also be constructed to provide two second compartments 58 within thermal packaging unit 50 thereby making each half of thermal packaging unit 50 independently activated.

The thermal packaging unit 50 also includes a top seal 68 that maintains a relatively moisture-free

environment within the storage area 52. The top seal 68 is preferably resealable so as to allow the biocompatible implant 10 to be reinserted into the storage area 52. This may be necessary when the temperature of the biocompatible
5 implant 10 cools to a temperature below its glass transition temperature before the insertion process can be attempted or successfully completed.

The first compartment 56 is operable to contain calcium chloride while the second compartment 58 is
10 operable to contain water. As will be appreciated by those skilled in the art, when the wall of second compartment 58 is ruptured, water from the second compartment 58 is able to combine with the calcium chloride in the first compartment 56 in an exothermic reaction. As this
15 exothermic reaction occurs, thermal energy is transferred from the first compartment 56 to the biocompatible implant 10 which causes the temperature of the biocompatible implant 10 to rise. As the temperature of the biocompatible implant 10 reaches a temperature
20 substantially that of its glass transition temperature, the biocompatible implant 10 becomes increasingly deformable and may be easily inserted into the cavity 12. After the biocompatible implant 10 has been inserted into the cavity 12, the biocompatible implant 10 cools to body temperature
25 which is below the glass transition temperature of the biocompatible implant 10. When this occurs, the bioresorbable implant 10 becomes relatively rigid so as to resist further movement within the cavity 12.

The use of thermal packaging unit 50 will now be
30 described in greater detail. Shortly before the biocompatible implant 10 is to be implanted, an insertion tool (not shown) is removably attached to the biocompatible implant 10 by threading the insertion tool onto the plurality of threads 36 that are disposed on the inner
35 surface of the annular wall 34. The biocompatible implant 10 and the attached end of the insertion tool are then

inserted into the thermal packaging unit 50. To enhance the heating operation, the top seal 68 is substantially closed around the insertion tool, which protrudes from the thermal packaging unit 50. Activation of thermal packaging unit 50 is then accomplished by gently squeezing thermal packaging unit 50 from its exterior so as to rupture second compartment 58. Rupturing the second compartment 58 allows water to escape from the second compartment 58 and contact the calcium chloride located within first compartment 56. The resulting exothermic reaction between the water and calcium chloride is able to heat the biocompatible implant 10 within the storage area 52 to a temperature of approximately 50°C to 70°C within approximately 2 minutes following activation. At this elevated temperature, the temperature of the biocompatible implant 10 is substantially that of its glass transition temperature and is therefore relatively deformable. With proper maintenance of top seal 68 in a substantially closed position, the thermal packaging unit 50 is capable of maintaining this elevated temperature of the storage area 52 for approximately 15 - 20 minutes so as to allow the biocompatible implant 10 to be reheated if necessary.

After the biocompatible implant 10 is heated to a temperature substantially that of its glass transition temperature, the top seal 68 of the thermal packaging unit 50 is opened from around the insertion tool and the biocompatible implant 10 and the insertion tool are removed from the thermal packaging unit 50 and are inserted, with the rounded lower end 28 of the biocompatible implant 10 first, into the cavity 12. As the biocompatible implant 10 enters the cavity 12, the first and second flanges 38 and 40 deform in a direction toward the open recess 32. Using the insertion tool, the biocompatible implant 10 is forced into the cavity 12 to a position approximately 1 to 2 centimeters past the expected position of the distal end of the stem 24 of the hip joint prosthesis 16. It should also

be noted that it is possible to reinsert the biocompatible implant 10 into the thermal packaging unit 50 for reheating should a delay occur before the biocompatible implant 10 can be inserted into the cavity 12.

5 In approximately 1 to 2 minutes following removal of the biocompatible implant 10 from thermal packaging unit 50 and insertion into the cavity 12, the biocompatible implant 10 cools to a temperature below its glass transition temperature thereby returning to a relatively
10 rigid condition. In this relatively rigid condition, the biocompatible implant 10 is secured in a substantially stationary position within cavity 12. After the biocompatible implant 10 is secured in this manner, the insertion tool is detached from the biocompatible implant
15 10 and is removed from the cavity 12.

It should be noted that alternatively, the biocompatible implant 10 may be placed within the thermal packaging unit 50 without being attached to the insertion tool. In this embodiment, the top seal 68 can be
20 substantially closed during the heating operation, and the insertion tool is attached to the biocompatible implant 10 immediately prior to the removal of the biocompatible implant 10 from the thermal packaging unit 50.

After the biocompatible implant 10 is properly
25 secured within the cavity 12 and has cooled below its glass transition temperature, bone cement 72 is introduced into the cavity 12 and the stem portion 24 is thereafter inserted into the cavity 12. The action of inserting the stem portion 24 into the cavity 12 causes pressurization of
30 the bone cement 72 within the cavity 12 which causes the bone cement 72 to interdigitate with the stem portion 24 and the wall of the cavity 12. The first and second flanges 38 and 40 of the biocompatible implant 10 restrict the flow of the bone cement 72 to a position below the
35 biocompatible implant 10 within the cavity 12. After the bone cement 72 is then given approximately 5 minutes to

set, the stem portion 24 becomes secured to the femur 14. The final position of biocompatible implant 10, the bone cement 72 and stem portion 24 within the cavity 12 of femur 14 is illustrated in FIG. 5.

5 In a preferred embodiment, the biocompatible implant 10 is absorbed by natural functions within 1 to 1-1/2 years. During and after this time, bone cells or a fibrous tissue may fill into the space previously occupied by the biocompatible implant 10 and the portion of the
10 cavity 12 below the biocompatible implant 10.

As will be appreciated by those skilled in the art, the thermal packaging unit 50 may contain only one rupturable compartment whose contents may be introduced to air, water or an external activating compound in order to
15 activate the exothermic or thermodynamic reaction which heats the biocompatible implant 10. In addition, three or more compartments may be used that can include different reactants that may be located within the first compartment 56. In this case, simultaneous exothermic reactions can
20 occur by simultaneous rupturing of all barriers separating the compartments. Alternatively, the first compartment 56 may have multiple storage compartments for multiple supplies of the same chemical reactants. Such an arrangement would make it possible for sequential rupturing
25 of these compartments so as to allow the duration of the thermal energy generated by the thermal packaging unit 50 to increase. Finally, the thermal packaging unit 50 may take the form shown in FIGS. 6-9. In these alternative embodiments, similar features common to those shown in FIG.
30 4 are referenced by numerals that are 100, 200 and 300 greater than the corresponding numerals in FIG. 4. Each of these alternative embodiments of the thermal packaging unit 50 will be more fully described below.

The thermal packaging unit 150 shown in FIG. 6
35 includes a first compartment 156 that defines a storage area 152 for receiving the bi compatible implant 10. The

first compartment 156 includes an inner wall 160 that is disposed adjacent to the storage area 152 and an external surface defined by an outer wall 162. The inner wall 160 and outer wall 162 act as a microbial and moisture barrier which maintains a relatively sterile and relatively dry condition within the storage area 152 thereby minimizing degradation of the biocompatible implant 110 by exposure to the environment.

The inner wall 160 is preferably made from a laminate including a linear low density film of 3 to 4 mils thickness, a high temperature adhesive layer, an aluminum foil layer, a second high temperature adhesive layer and a second linear low density film of 3 to 4 mils thickness, although other suitable materials may be used. The outer wall 162 is made in a preferred embodiment from a laminate including a linear low density film of 3 to 4 mils thickness, a high temperature adhesive layer, an aluminum foil layer, a second high temperature adhesive layer and an insulating layer, although other suitable materials may be used. The insulating layer may be made from any flexible insulating material well known to those skilled in the art and is used to retain the thermal energy generated within the first compartment 156. In one preferred embodiment, the insulating layer is a laminate including a 60 to 100 gauge biaxial oriented nylon sheet, a high temperature adhesive layer, a microfoam-blown polypropylene layer and a non-woven film, such as nylon.

The thermal packaging unit 150 also includes a top seal 168 that maintains a relatively moisture-free environment within the storage area 152. The top seal 168 is preferably resealable so as to allow the biocompatible implant 110 to be reinserted into the storage area 152. This may be necessary when the temperature of the biocompatible implant 110 cools to a temperature below its glass transition temperature before the insertion process can be attempted or successfully completed.

Located on the exterior surface of the insulating layer 164 is an injection port 174 which is used to provide a resealable passageway from the external surface of the thermal packaging unit 150 to the first compartment 156.

5 The injection port 174 is made from a compliant material that can be deformed and penetrated from an initial relatively sealed condition by an injection instrument such as a syringe or other suitable tool (not shown). It is preferred that the injection port 174 be located exterior

10 to the outer wall 162 so as not to disturb the initial interior microbial and moisture conditions within the storage area 152. The injection port 174 preferably returns to a relatively sealed condition following the withdrawal of the injection instrument from the injection

15 port 174.

The first compartment 156 is operable to contain calcium chloride which is reacted with water introduced by an injection instrument through the injection port 174. As water combines with the calcium chloride in the first

20 compartment 156, an exothermic or thermodynamic chemical reaction occurs whereby thermal energy is generated within the first compartment 156 and is subsequently transferred from the first compartment 156 to the biocompatible implant 110 which causes the temperature of the biocompatible

25 implant 110 to rise. It will be noted that the first compartment 156 may contain a different chemical reactant which may be combined with water or a suitable activating solvent other than water in the same manner described above to produce the desired exothermic or thermodynamic chemical

30 reaction. It will also be noted that the first compartment 156 may be separated into segments by means of a separating wall, with a second injection port added for making each half of thermal packaging unit 150 independently activated.

Another embodiment of the thermal packaging unit,

35 generally designated by the numeral 250, is shown in FIG. 7. In this embodiment, the thermal packaging unit 250

includes a first compartment 256 that defines a storage area 252 for receiving the biocompatible implant. The first compartment 256 is operable to contain a supercooled solution of sodium acetate and water. Disposed within the solution is a metal activation disk 280, which is operable to crystallize the solution of sodium acetate and water upon bending. The activation disk 280 is available from Prism Technologies and is operable to crystallize the sodium acetate and water solution in a manner described below. The first compartment 256 includes an inner wall 260 that is disposed adjacent to the storage area 252 and an external surface defined by an outer wall 262. The inner wall 260 and outer wall 262 act as a microbial and moisture barrier which maintains a relatively sterile and relatively dry condition within the storage area 252 thereby minimizing degradation of the biocompatible implant 210 by exposure to the environment.

The inner wall 260 is made in a preferred embodiment from a laminate including a linear low density film of 3 to 4 mils thickness, a high temperature adhesive layer, a clear foil layer, a second high temperature adhesive layer and a second linear low density film of 3 to 4 mils thickness, although other suitable materials may be used. The outer wall 262 is made in a preferred embodiment from a laminate including a linear low density film of 3 to 4 mils thickness, a high temperature adhesive layer, a clear foil layer, a second high temperature adhesive layer and an insulating layer, although other suitable materials may be used. The insulating layer may be made from any flexible insulating material well known to those skilled in the art and is used to retain the thermal energy generated within the first compartment 256. In one preferred embodiment, the insulating layer is a laminate including a 60 to 100 gauge biaxial oriented nylon sheet, a high temperature adhesive layer, a microfoam-blown polypropylene layer and a non-woven film, such as nylon.

The thermal packaging unit 250 also includes a top seal 268 that maintains a relatively moisture-free environment within the storage area 252. The top seal 268 is preferably resealable so as to allow the biocompatible
5 implant 210 to be reinserted into the storage area 252. This may be necessary when the temperature of the biocompatible implant 210 cools to a temperature below its glass transition temperature before the insertion process can be attempted or successfully completed.

10 In this embodiment, the activation disk 280 is bent to initiate the reaction. Crystallization of the sodium acetate solution generates thermal energy within the first compartment 256 that is subsequently transferred from the first compartment 256 to the biocompatible implant 210,
15 thereby causing the temperature of the biocompatible implant 210 to rise. The thermal packaging unit 250 can be reused for at least one additional use by boiling the thermal packaging unit 250 for a time sufficient to return the sodium acetate and water solution within the first
20 compartment 256 to its previous state. Subsequent activation of the thermal packaging unit 250 is then accomplished in the same manner described above.

It will be noted that the first compartment 256 may contain a different chemical reactant which may be
25 combined with a different suitable activating agent in the same manner described above to produce the desired exothermic or thermodynamic chemical reaction. It will also be noted that the first compartment 256 may be separated into segments by means of a separating wall, with
30 a second activation disk added for making each half of thermal packaging unit 250 independently activated.

A further embodiment of the thermal packaging unit, generally designated by the numeral 350, will now be described with reference to FIGS. 8 and 9. The thermal
35 packaging unit 350 is shown to include a first compartment 388 defined by the outer wall 390, which is partially

separated into two portions by a perforated seal 382 extending through the central portion of the first compartment 388.

5 Disposed near the center top portion of the thermal packaging unit 350 is an injection port 386 for introducing an activation compound for initiating an exothermic or thermodynamic chemical reaction. The injection port 386 is preferably mounted upon the external surface of the outer wall 390 thereby providing a
10 resealable passageway through the outer wall 390 from the external surface of the thermal packaging unit 350 to the first compartment 388. The injection port 386 is made from a compliant material that can be deformed and penetrated from an initial relatively sealed condition to accept an
15 injection instrument such as a syringe or other suitable tool (not shown). The injection port 386 preferably returns to a relatively sealed condition following the withdrawal of the injection instrument as before.

 The thermal packaging unit 350 further includes
20 a pocket 384 disposed on one side for defining a storage space 392 for holding a biocompatible implant (not shown) and enhancing heat transfer and retention. The thermal packaging unit 350 is initially in a flat orientation. After the biocompatible implant to be heated is located
25 within the pocket 384, the thermal packaging unit 350 is folded about the perforated seal 382 to substantially surround the biocompatible implant. This arrangement promotes convenience in inserting the biocompatible implant into and removing it from the thermal packaging unit 350.

30 The thermal packaging unit 350 further includes an insulating layer 394 disposed upon the opposite surface of the thermal packaging unit 350 from the pocket 384. The insulating layer 394 may be made from any flexible insulating material well known to those skilled in the art
35 and is used to retain the thermal energy generated within the first compartment 388 after the thermal packaging unit

350 is activated and is folded to surround the biocompatible bone plug being heated. In one preferred embodiment, as before, the insulating layer 394 is a laminate including a 60 to 100 gauge biaxial oriented nylon sheet, a high temperature adhesive layer, a microfoam-blown polypropylene layer and a non-woven film, such as nylon.

The first compartment 388 is operative to contain calcium chloride which is reacted with water introduced by an injection instrument through the injection port 386. As water combines with the calcium chloride in the first compartment 388, an exothermic or thermodynamic chemical reaction occurs whereby thermal energy is generated within the first compartment 386. When the thermal packaging unit 350 is in a folded condition, this generated heat is transferred from the first compartment 388 to the biocompatible implant stored within the pocket 384 which causes the temperature of the biocompatible implant to rise. It will be noted that the first compartment may contain a different chemical reactant which may be combined with water or a suitable activating solvent other than water in the same manner described above to produce the desired exothermic or thermodynamic chemical reaction.

The biocompatible implant can be removed from and reinserted into the pocket 384 as desired for satisfying the particular heating needs of an application. This may be necessary when the temperature of the biocompatible implant cools to a temperature below its glass transition temperature before the insertion process can be attempted or successfully completed. It should be noted that the folded condition of the thermal packaging unit 350 is more effective for developing a fully heated condition, as well as for maintaining a heated condition when a biocompatible implant is inserted into the pocket 384 and following removal of the implant, should a reheating become necessary.

While the above description discusses a preferred

embodiment of the present invention, it will be understood that the description is exemplary in nature and is not intended to limit the scope of the invention. For example, the implant used in the present invention may be of a biocompatible or bioresorbable material. Also, the deformability characteristics of the implant may be altered by any change in thermochemical condition of the implant, such as exposure to one or more chemical compounds which react with the implant material so as to render it temporarily deformable prior to implantation. In addition, the thermal packaging unit may be supplied with an implant already positioned within the storage area or it may be supplied empty for insertion of an implant before activation. Furthermore, the thermal packaging unit may be used to enhance the sterility of the biocompatible implant from when the biocompatible implant is manufactured until immediately before the biocompatible implant is implanted. As will be appreciated by those skilled in the art, the use of the thermal packaging unit to enhance the sterility of the biocompatible implant reduces the costs which would otherwise be associated with enhancing the sterility of the biocompatible implant before implantation. In addition, the implant described herein may be used in connection with other types of surgical applications and with other types of tissue. The present invention will therefore be understood as susceptible to modification, alteration and variation by those skilled in the art without deviating from the scope and meaning of the following claims.

CLAIMSWhat is Claimed is:

- 5 1. An apparatus for heating a biocompatible implant, comprising:
 means for receiving said biocompatible implant;
 and
 means for heating said biocompatible implant,
10 said means for heating biocompatible implant being self-contained and being disposed adjacent to said means for receiving said biocompatible implant.
2. The apparatus according to Claim 1, wherein
15 the use of said biocompatible implant may be influenced by the presence of moisture proximate to said biocompatible implant, said apparatus further comprising a barrier layer enclosing said means for receiving said biocompatible implant, said barrier layer being operable to inhibit the
20 transfer of moisture into said means for receiving said biocompatible implant.
3. The apparatus according Claim 2, wherein the
 use of said biocompatible implant may be influenced by the
25 presence of microbes proximate to said biocompatible implant, said barrier layer being further operable to inhibit microbial transfer into said means for receiving said biocompatible implant.
- 30 4. The apparatus according to Claim 1, further comprising means for insulating said means for heating said biocompatible implant.

5. The apparatus according to Claim 1, wherein said means for receiving said biocompatible implant is operable to allow removal and subsequent reinsertion of said biocompatible implant into said means for receiving
5 said biocompatible implant.

6. The apparatus according to Claim 1, wherein said means for heating said biocompatible implant comprises means for generating an exothermic chemical reaction.
10

7. The apparatus according to Claim 1, wherein said means for heating said biocompatible implant comprises means for generating a thermodynamic reaction.

8. The apparatus according to Claim 6, wherein said means for generating an exothermic chemical reaction comprises:
15

a first compartment adjacent to said means for receiving said biocompatible implant, said first compartment being operable contain a first chemical composition; and
20

means for activating said first chemical composition so as to cause said exothermic chemical reaction.
25

9. The apparatus according to Claim 8, wherein said means for activating said first chemical composition comprises a second compartment disposed proximate to said first compartment and being operable to contain a second chemical composition, said second compartment being operable to be ruptured so as to allow said second chemical composition to react with said first chemical composition.
30

10. The apparatus according to Claim 9, wherein said first chemical composition includes calcium chloride and said second chemical composition includes water.
35

11. The apparatus according to Claim 8, wherein
said means for activating said first chemical composition
comprises an injection port being operable to accept an
injection instrument, said injection instrument being
5 operable to deliver a second chemical composition to said
first compartment thereby causing said exothermic chemical
reaction.

12. The apparatus according to Claim 8, wherein
10 said means for activating said first chemical composition
comprises an activation disk being operable to cause
crystallization of said first chemical composition upon
deformation.

13. The apparatus according to Claim 1, wherein
15 said biocompatible implant has a glass transition
temperature, said means for heating said biocompatible
implant is operable to heat said biocompatible implant to
a temperature substantially that of its glass transition
20 temperature.

14. An apparatus for heating a biocompatible implant operable to be implanted within living tissue, said biocompatible implant being made from a material having a glass transition temperature, said apparatus comprising:

5 means for receiving said biocompatible implant;
 means for heating said biocompatible implant to a temperature substantially that of said glass transition temperature of said biocompatible implant; and

10 means for allowing removal of said biocompatible implant from said means for receiving said biocompatible implant;

 whereby said biocompatible implant may be deformed prior to implantation into said living tissue.

15 15. The apparatus according to Claim 14, wherein the use of said biocompatible implant may be influenced by the presence of contaminants proximate to said biocompatible implant, said apparatus further comprises a barrier layer for inhibiting transfer of said contaminants
20 into said means for receiving said biocompatible implant.

16. The apparatus according to Claim 15, wherein said means for heating said biocompatible implant includes:

25 a first compartment disposed adjacent to said means for receiving said biocompatible implant, said first compartment being operable to contain a first chemical composition; and

 means for activating said first chemical composition so as to cause an exothermic chemical reaction.

30

17. The apparatus according to Claim 16, wherein said means for activating said first chemical composition comprises a second compartment proximate to said first compartment and being operable to contain a second chemical composition, said second compartment being operable to be ruptured so as to allow said second chemical composition to react with said first chemical composition.

18. The apparatus of Claim 16, wherein said means for activating said first chemical composition comprises an injection port being operable to accept an injection instrument, said injection instrument being operable to deliver a second chemical composition to said first compartment thereby causing said exothermic chemical reaction.

19. The apparatus of Claim 16, wherein said means for activating said first chemical composition comprises an activation disk being operable to cause crystallization of said first chemical composition.

20. A method for heating a biocompatible implant comprising the steps of:

disposing said biocompatible implant in a thermal packaging unit, said thermal packaging unit including

5 (a) means for receiving said biocompatible implant, and

(b) means for delivering thermal energy to said biocompatible implant upon activation of said thermal packaging unit;

10 activating said thermal packaging unit so as to cause said thermal energy to be delivered to said biocompatible implant;

allowing said biocompatible implant to be heated to a temperature where said biocompatible implant becomes
15 deformable; and

removing said biocompatible implant from said thermal packaging unit after said biocompatible implant becomes deformable.

20 21. The method according to Claim 20, further comprising the additional step of reinserting said biocompatible implant into said thermal packaging unit so as to cause thermal energy to be delivered to said biocompatible implant.

25 22. The method according to Claim 20, wherein said thermal packaging unit further includes a first compartment containing a first chemical composition, said step of activating said thermal packaging unit further
30 including the step of introducing a second chemical composition into said first compartment.

23. The method according to Claim 22, wherein said first chemical composition includes calcium chloride
35 and said second chemical composition includes water.

24. The method according to Claim 22, wherein said second chemical composition is disposed within a second compartment, said step of introducing said second chemical composition into said first compartment further
5 includes the step of rupturing said second compartment so as to cause said second chemical composition to react with said first chemical composition.

25. The method according to Claim 22, wherein
10 the step of introducing said second chemical composition into said first compartment includes the step of injecting said second chemical composition into said first compartment so as to cause said second chemical composition to react with said first chemical composition.

15

26. The method according to Claim 20, wherein said thermal packaging unit further comprises an activation disk, said step of activating said thermal packaging unit further including the step of deforming said activation
20 disk.

27. The method according to Claim 20, further comprising the additional step of maintaining the sterility of said biocompatible implant from when said biocompatible
25 implant is disposed within said thermal packaging unit until when said biocompatible implant is removed from said thermal packaging unit.

28. An apparatus for heating a biocompatible implant, comprising:

means for receiving said biocompatible implant;

means for heating said biocompatible implant,

5 said means for heating biocompatible implant being operable to receive a first chemical composition and being disposed adjacent to said means for receiving said biocompatible implant; and

10 means for introducing a second chemical composition into said means for heating so as to allow said second chemical composition to react with said first chemical composition.

29. The apparatus according to Claim 28, wherein
15 said means for introducing a second chemical composition comprises an injection port being operable to accept an injection instrument, said injection instrument being operable to deliver said second chemical composition to said first compartment thereby causing said exothermic
20 chemical reaction.

30. The apparatus according to Claim 29, wherein
said first chemical composition includes calcium chloride
and said second chemical composition includes water.

AMENDED CLAIMS

[received by the International Bureau on 23 March 1995 (23.03.95);
new claims 31-39 added; remaining claims unchanged (2 pages)]

31. The apparatus of Claim 1, wherein said biocompatible implant comprising:

a main body being operable to be inserted into a cavity; and

5 means for securing said main body in a substantially stationary position within said cavity, said means for securing said main body in a substantially stationary position being relatively rigid when at a first thermochemical state and being deformable at a second
10 thermochemical state;

whereby said means for securing said main body in a substantially stationary position is operable to be converted to said second thermochemical state prior to implantation.

15 32. The apparatus according to Claim 31, wherein said first thermochemical state is a first temperature and wherein said second thermochemical state is a second temperature, said second temperature being greater than said first temperature.

20 33. The apparatus according to Claim 31, wherein said biocompatible implant is bioresorbable.

34. The apparatus according to Claim 33, wherein said means for securing said main body in a substantially stationary position within said cavity further comprises a
25 first flange disposed on said main body, said first flange being deformable when said biocompatible implant is heated to said second temperature.

35. The apparatus according to Claim 34, wherein said means for securing said main body in a substantially stationary position within said cavity further comprises a
30 second flange being disposed on said main body, said second flange being deformable when said biocompatible implant is heated to said second temperature.

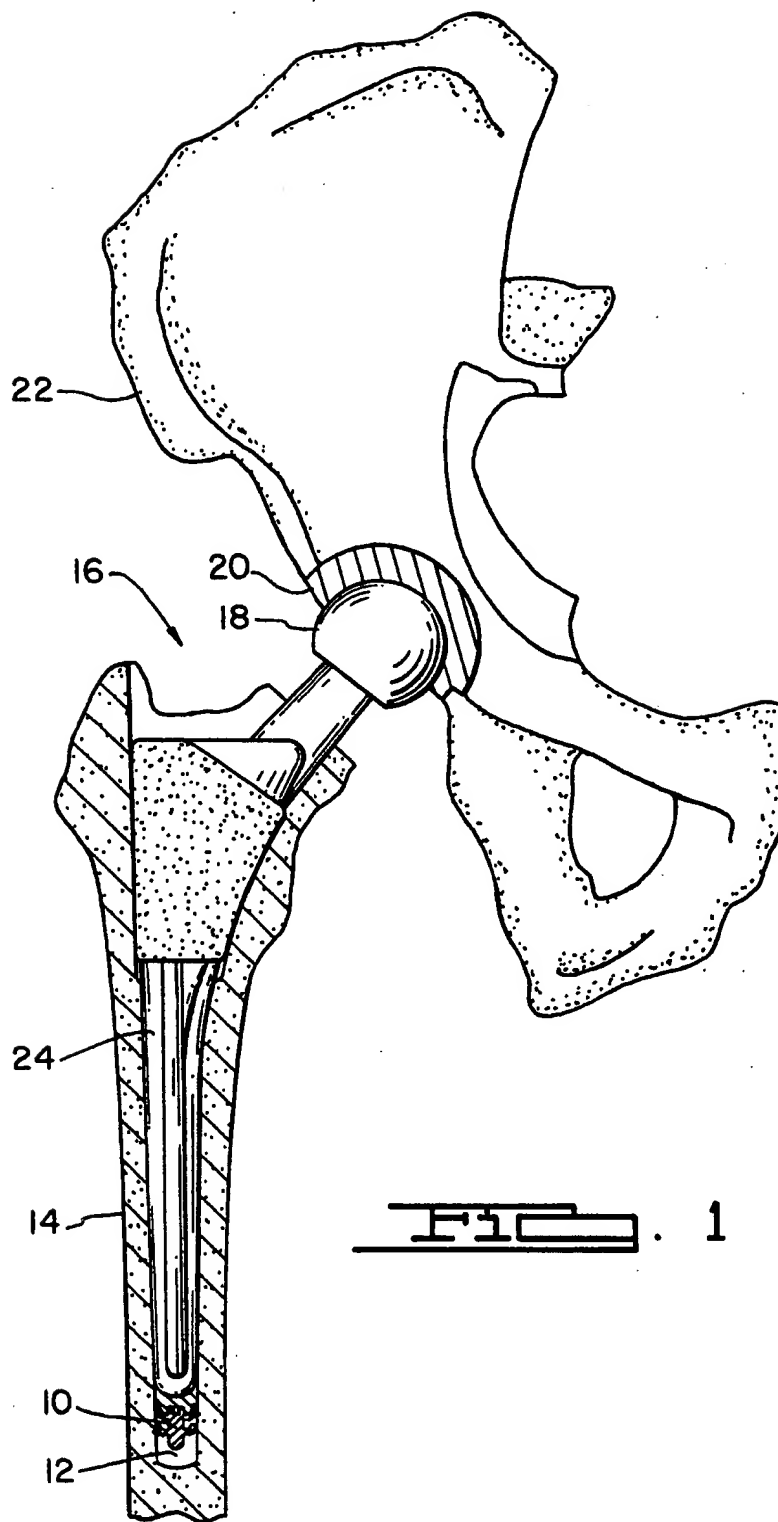
36. The apparatus according to Claim 34, wherein said first flange has a first surface with a plurality of ridges disposed thereon.

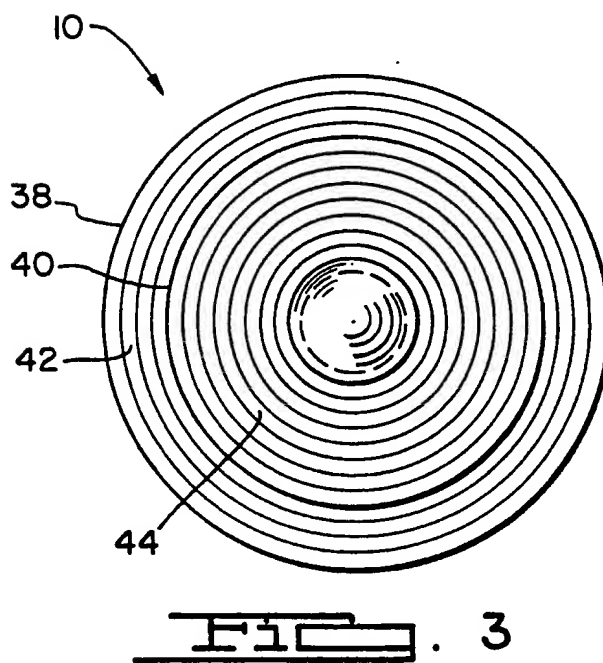
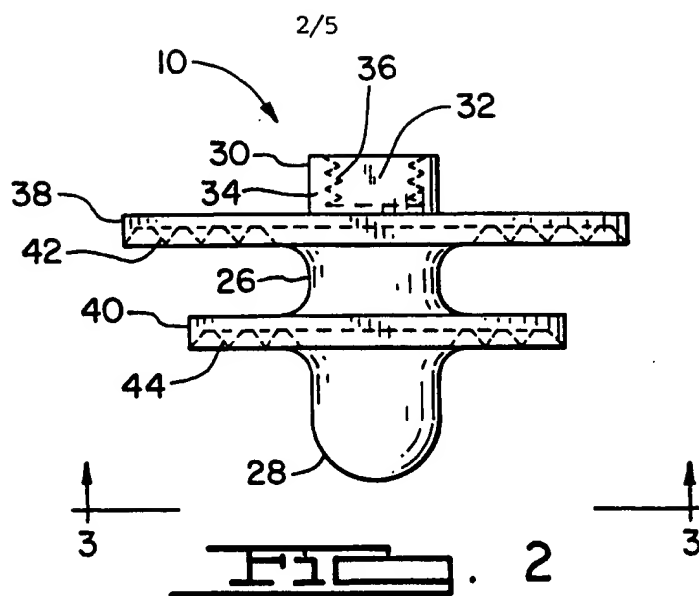
37. The apparatus according to Claim 36, wherein
5 said plurality of ridges are concentrically disposed on said first surface of said first flange.

38. The apparatus according to Claim 31, further comprising means for allowing said biocompatible implant to be inserted into said cavity by an insertion tool.

10 39. The biocompatible implant according to Claim 31, wherein said biocompatible implant is formed from at least one polymer material selected from the group consisting of polyglycolide, polylactide, poly(glycolide-co-lactide), polydioxanone, poly(glycolide-co-trimethylene
15 carbonate), poly(ethylene carbonate), poly(iminocarbonates), polycaprolactone, polyhydroxybutyrate, polyesters, poly(amino acids), poly(ester-amides), poly(orthoesters), poly(anhydrides), cyanoacrylates, and copolymers and blends thereof.

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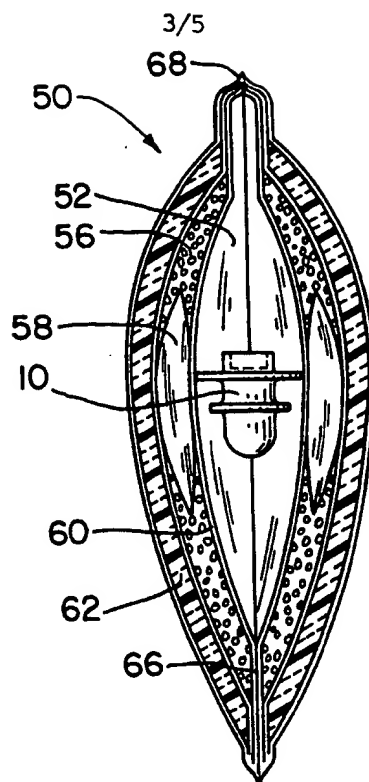


FIG. 4

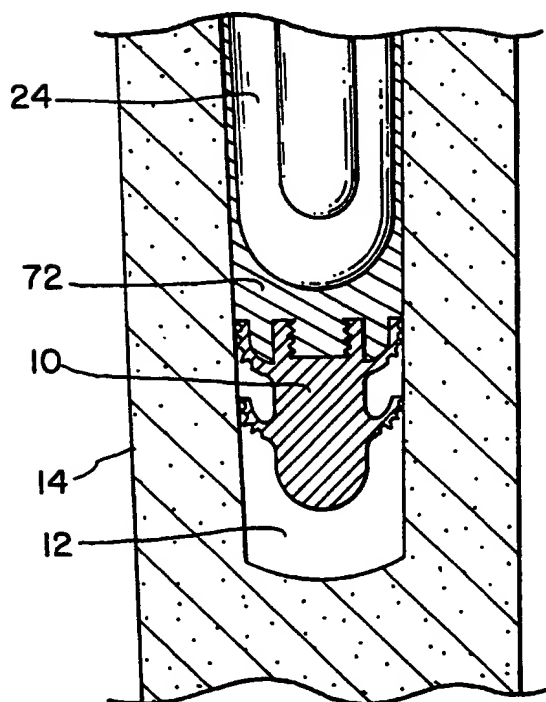
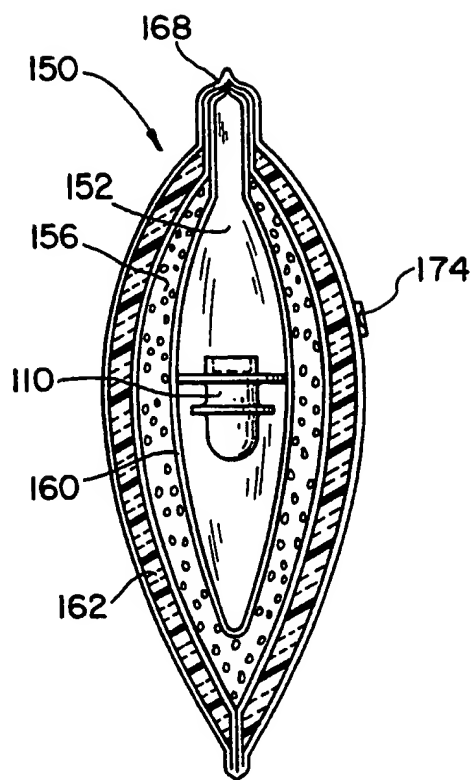
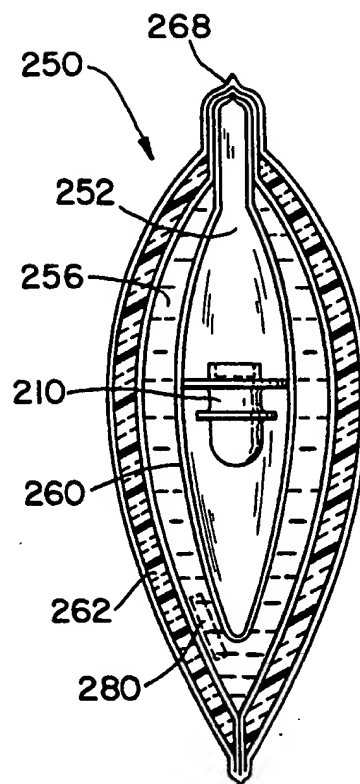


FIG. 5

FIG. 6FIG. 7

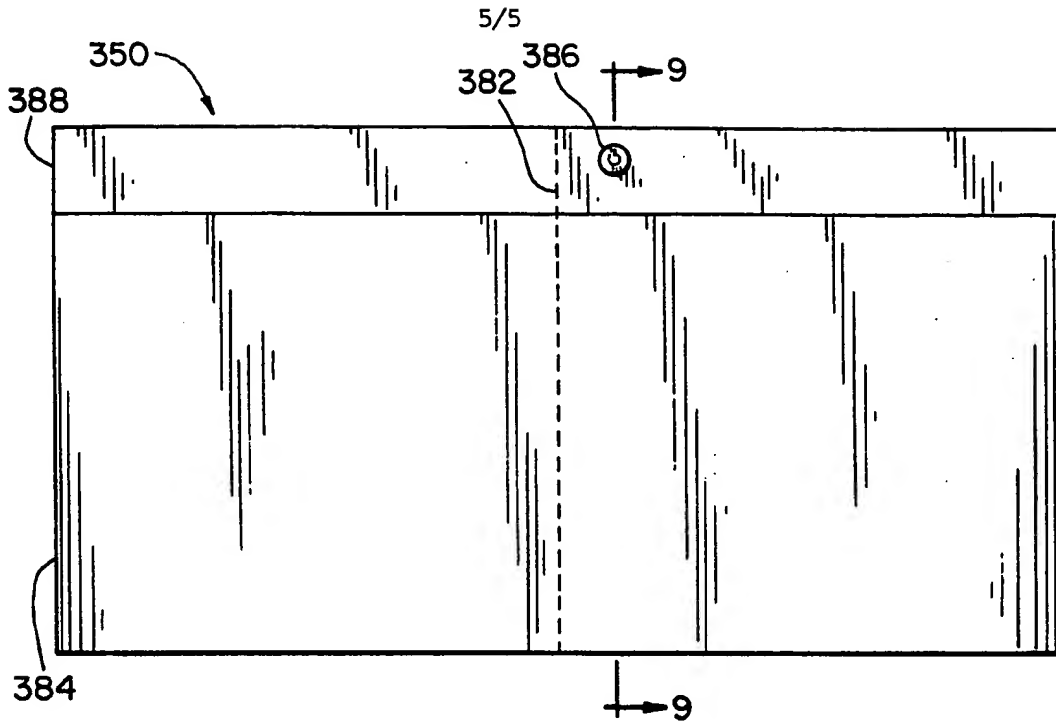


FIG. 8

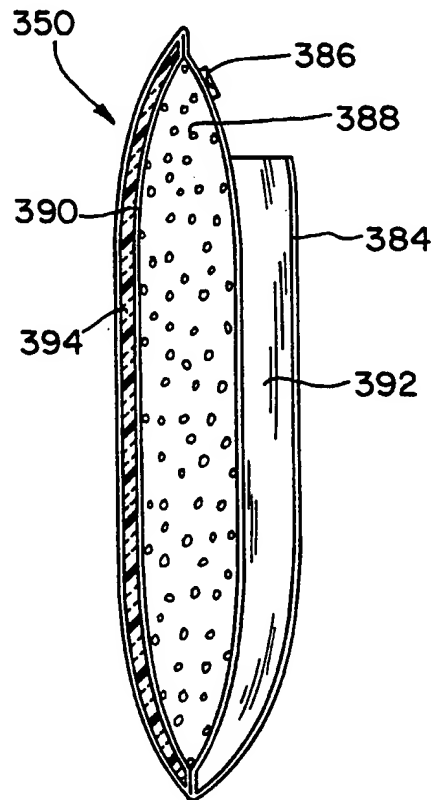


FIG. 9

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/11432

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :A61F 2/02, 2/24, 2/28, 2/54

US CL :126/263DA; 128/898; 623/11, 16, 901

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 126/263DA; 128/898; 623/11, 16, 901

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NoneElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
None

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 3,889,483, (DONNELLY), 17 June 1975. See Abstract and figures.	1, 4, 5, 13, 14
A	US, A, 4,106,478, (HIGASHIJIMA), 15 August, 1978. See Abstract and figures.	1, 4, 5, 13, 14
A	US, A, Re. 32,026, (YAMASHITA ET AL.), 12 November 1985. See Abstract and figures.	1, 4, 5, 13, 14

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"A" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

03 MAY 1994

Date of mailing of the international search report

19.07.94

Name and mailing address of the ISA/US
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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/11432

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. Claims 1-19 and 28-30, drawn to an apparatus for heating an implant, classified in Class 422, subclass 162.
2. Claims 20-27, drawn to a process of making an implant, classified in Class 623, subclass 66.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
☐ No protest accompanied the payment of additional search fees.